



## Takaharu Totsuka

Partner TEL: 81-3-6775-1109

Tokyo Office FAX: 81-3-6775-2109

Takaharu Totsuka is a partner and has been involved with various types of financial transactions including financial regulatory issues in relation to the Banking Law and Financial Instruments and Exchange Act, securitizations of real properties and receivables, PFI (private finance initiatives), and syndicated loans to operating companies and investment corporations. His scope of experience also includes mergers and acquisitions and general corporate issues.

### Practice Areas

Finance and Financial Institutions	Banking	Financial Regulation	Derivatives	Asset Management and Investment Funds
	Structured Finance	Project Finance	PPP/PFI/Concession	
Real Estate	Real Estate Transactions	Real Estate Investment	Real Estate Finance	
M&A	M&A/Business Restructuring			
Corporate	Corporate and Commercial Transactions		Establishing a Business Presence in Japan	

### Topics

Articles May 2024	Banking Regulation in Japan: Overview (2024)
Achievements Apr 18, 2024	The Best Lawyers in Japan™ • Best Lawyers: Ones to Watch in Japan™ (2025 Edition)
Others Mar 2024	Japan Legal Update : 2023 Amendment to the Financial Instruments and Exchange Act

### Practice Groups

## Background

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Mar 1995	The University of Tokyo (LL.B.)
Apr 1995 - Mar 2002	Worked for the Bank of Japan
Jun 2000	Harvard Law School (LL.M.)
Apr 2002 - Oct 2003	The Legal Training and Research Institute of the Supreme Court of Japan
Apr 2006 - Mar 2010	Adjunct Lecturer, the Graduate School of Law, Kyoto University
Apr 2010 - Mar 2013	Visiting Assistant Professor, The University of Tokyo School of Law
Jan 2017 -	Member, Financial Law Board

## Publications

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Banking Regulation in Japan: Overview (2024)	Thomson Reuters Practical Law	May 2024
Japan Legal Update : 2023 Amendment to the Financial Instruments and Exchange Act		Mar 2024
Financial Services Compliance	Lexology PANORAMIC	Jan 2024
Banking Regulation 2024 (Japan Chapter) - Trends and Developments		Jan 2024
Banking Regulation 2023 (Japan Chapter) - Trends and Developments		Dec 2022
Banking Regulation in Japan: Overview (2022)	Thomson Reuters Practical Law (website)	Dec 2022
Banking Regulation 2022 (Japan Chapter) - Trends and Developments		Dec 2021
Banking Regulation in Japan: Overview (2021)	Thomson Reuters Practical Law (website)	Nov 2021
Trends and Developments	Chambers and Partners - Global Practice Guide (Banking Regulation 2021 / Japan)	Jan 2021
Doing Business 2016		Jan 2016
Legal points concerning a reorganization of regional banks	FINANCIAL Regulation vol.5	Jun 2015
How to keep a record of negotiation in various situations of selling financial instruments	Ginko Jitsumu	Dec 2013
Various Issues on Financial Instruments and Exchange Act	Shoji Homu	Oct 2012
Banking Regulation	Thomson Reuters (Professional) UK Limited	Jan 2012
Navigating Banking and Finance Law in the Asia-pacific	Aspatore Books	Aug 2011
Regulations on payment-services business in Japan	International Investment & ETFs Review 2011	Mar 2011
New regulatory framework for commodity derivatives business in Japan	International Investment & ETFs Review 2010	Mar 2010
New regulations on fund transfer business in Japan	The Euromoney Financial Markets: The Next Generation Handbook 2010	Jan 2010
The Impact of the Financial Instruments and Exchange Law on Securitization Transactions	Banking Law Journal, No. 1784	Oct 2006
Proposed Amendments to the Trust Law - Impact on Asset Management Business in Japan	Euromoney Global Asset Management & Trading Handbook 2006/07	Jul 2006
New Corporation Law and Financial Transactions	Economic Legal Research Institute	Sep 2005
New Corporation Law and Financial Transaction Practice	Ginko Homu 21, September 2005 Special Volume	Sep 2005
Guide to Japan's New Corporation Law: An analysis of the new corporate system based upon a review of the new provisions		Aug 2005
A Study of the Obligation to Produce Documents in Civil Procedure	Monetary and Economic Studies (Kinyu Kenkyu) Vol.18 No.1	Mar 1999

## Lectures

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Evolution of online payment systems and lessons for Asia	IBA (International Bar Association)	Mar 9, 2018
Outline of the Payment Services Act - New Business Chance and Impact on Existing Business	Financial Management Forums, Inc.	Oct 2009
Fund Practice under Financial Crisis	Stock Research	Mar 2009

Financial Crisis and Fund Practice - Noteworthy Points for Assets Reformation and Refinance and Countermeasures for Bankruptcy of Related Parties	Kinyu Facsimile Shimbun Sha / K.K. FN Communications	Feb 2009
Practice of Fund Business under the Financial Instruments and Exchange Law - Noteworthy Points for M&A relating to the Fund, such as Asset Recombination and Refinance, etc.	Sogo Unicom	Dec 2008
Various Legal Problems and Practical Effects under the Financial Instruments and Exchange Law	Stock Research	Dec 2008
Practice of Fund Business under the Financial Instruments and Exchange Law - Trend of Practice and Disclosure, Business and Activity Regulations of Collective Investment Scheme	Institute for Financial Affairs	Jul 2008
Practical Effect and Legal Countermeasures for the Financial Instruments and Exchange Law - Noteworthy Points for Fund Recombination and Refinance, Schemes, Intermediate Corporation, Business Regulations, etc.	Japan Planning Institute	Jun 2008

## Achievements

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Apr 18, 2024	The Best Lawyers in Japan™ • Best Lawyers: Ones to Watch in Japan™ (2025 Edition)
Apr 20, 2023	The Best Lawyers in Japan™ 2024
Apr 14, 2022	Best Lawyers 2023
Apr 15, 2021	Best Lawyers 2022
Apr 9, 2020	Best Lawyers 2021
Apr 4, 2019	Best Lawyers 2020

## Professional Admissions

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Japan (2003)  
New York (2002)

## Professional and Academic Associations

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Daini Tokyo Bar Association

## Languages

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Japanese (first language)      English