



Shigeki Tatsuno

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Shigeki Tatsuno has a thorough knowledge in M&A, Joint Venture and cross-border transactions. In addition, he regularly advises a number of venture companies and PE funds, and engages in general corporate matters, including IP transactions, broadly.

Practice Areas

Corporate	Corporate and Commercial Transactions	Corporate Governance	Shareholder Activism
	Support for Start-ups		
M&A	M&A/Business Restructuring	Joint Ventures	Business Alliances
	Private Equity/Funds	Cross-border M&A	Venture Capital
Government Investigations and Crisis Management			
Capital Markets			
Finance and Financial Institutions	Acquisition		
	Finance		
Real Estate	Real Estate Transactions		
Labor and Employment			
Intellectual Property/Life Sciences/TMT		Copyright and Entertainment	
	IP Licensing and Transactions		
	Health Care, Pharmaceutical and Life Science		
Competition/Antitrust	Merger Control		

Dispute Resolution

International Practice

Mainland China Taiwan Hong Kong Korea India Singapore Indonesia
Vietnam Thailand Malaysia Philippines Other ASEAN countries EU Turkey
Other
United States Jurisdictions

Topics

External Seminars
Feb 4, 2022

M&A Strategy based on Revision of Corporate Governance Code and Reorganization of Tokyo Stock Exchange

External Seminars
Jan 19, 2022

Legal Practice of Sell-offs and Acquisition of Business

Articles
Jan 2022

Latest Trends in Regulations of Cross-Border Data Transfer [No. 7] / Hong Kong

Practice Groups

Corporate Transaction Group

Financial Services & Transactions Group

Asia and Emerging Countries Practice Group

Life Sciences and Health Care Practice Group

Background

Mar 2000 The University of Tokyo (LL.B.)
Oct 2002 The Legal Training and Research Institute of the Supreme Court of Japan
May 2007 Graduate study, New York University School of Law (LL.M.)
Nov 2007 - Jul 2008 Associated with Herbert Smith (currently, Herbert Smith Freehills), Paris
Nov 2014 - Member of the Human Research Ethics Review Committee of Graduate School of Pharmaceutical Sciences, Faculty of Pharmaceutical Sciences, The University of Tokyo
Mar 2018 Member of the External Evaluation Committee for the Program for Promoting the Enhancement of Research Universities (Fiscal 2017), Tokyo Medical and Dental University
Apr 2019 - Member of the Ethics Review Committee of Medical Research Institute Tokyo Medical and Dental University

Publications

Latest Trends in Regulations of Cross-Border Data Transfer [No. 7] / Hong Kong Business & Law Website Jan 2022
Virtual Round Table - Mergers & Acquisitions 2021 (A Q&A On Mergers & Acquisitions In Japan With Shigeki Tatsuno) Corporate LiveWire website Dec 2021
METI's release of the results of a questionnaire survey on the status of human rights initiatives in the supply chain of Japanese companies Shojihomu Portal Dec 2021
Latest Trends in Regulations of Cross-Border Data Transfer [No. 5] / Singapore (Vol. 2) Business & Law Website Nov 2021
M&A Trend Watch (No.3) "M&A in Healthcare and Life Sciences (1)" Business & Law Website Nov 2021
Latest Trends in Regulations of Cross-Border Data Transfer [No. 4] / Singapore (Vol. 1) Business & Law Website Oct 2021
The Views of M&A, including Business Succession, and Activation of Regional Economy by Participation of Bank Group MARR No.325 Oct 2021
Latest Trends in Regulations of Cross-Border Data Transfer [No. 3] / US (New York) Business & Law Website Oct 2021

M&A Trend Watch (No.2) "Share Delivery (2)"	Business & Law Website	Sep 2021
Latest Trends in Regulations of Cross-Border Data Transfer [No. 2] / US (California)	Business & Law Website	Aug 2021
Doing Business In... 2021 - Law & Practice	Chambers and Partners	Aug 2021
Expansion and possibilities of banking practices led by Amendments to the Banking Act	Ginko Jitsumu	Aug 2021
M&A Trend Watch (No.1) "Share Delivery (1)"	Business & Law Website	Jul 2021
The Front-and-Back and Perspective of M&A Business in 2021	Business & Law Website	Jul 2021
Due Diligence Coverage, Process and Issues for M&A in Japan	Lexology Getting The Deal Through - Practice Guides Japan M&A	May 2021
Post-COVID M&A developments in Japan	Corporate LiveWire website	Apr 2021
A Case that Legally Binding Force in Agreement for Appointment of Directors doesn't Reach the Legal Heirs and Successors	Westlaw Japan website (Japanese Only)	Mar 2021
An expert discussion on mergers & acquisitions in Japan with Shigeki Tatsuno	Corporate LiveWire website	Dec 2020
Japanese Start-Up Financing Documents: Annotated Model Contracts	Shojihomu Co., Ltd.	Dec 2020
Legal Matters regarding Life Sciences and Health Care - Navigation regarding Regulations, Intellectual Properties and Corporate Matters (Second Edition)	Shojihomu Co., Ltd.	Oct 2020
Doing Business In.. 2020 - Law & Practice	Chambers and Partners	Jul 2020
Amendment of Foreign Exchange and Foreign Trade Act in Japan and How Movement of Strengthened Foreign Investment Restrictions in Foreign Countries Affects Practice of Cross-border M&A	MARR No.309	Jun 2020
Upturn in Hostile Takeovers Bids in Japan	Corporate LiveWire website	Apr 2020
Virtual Round Table - Mergers & Acquisitions 2019	Corporate LiveWire website	Nov 2019
Business Succession - Possibility of Infrastructure Building and Facilitation	Ginko Jitsumu (Aug 2019)	Aug 2019
Doing Business In... 2019 - Law & Practice	Chambers and Partners	Jul 2019
Proposed new "share delivery" scheme in Japan	Corporate LiveWire website	Apr 2019
Guidebook of English Language Contracts around the World	Shojihomu Co., Ltd.	Apr 2019
Rapidly Increasing M&A for Business Succession~Explain Legal Issues Financial Institutions should Note	The Finance	Dec 2018
Virtual Round Table - Mergers & Acquisitions 2018	Corporate LiveWire website	Nov 2018
Legal Matters regarding Life Sciences and Health Care - Navigation regarding Regulations, Intellectual Properties and Corporate Matters	Shojihomu Co., Ltd.	Sep 2018
Necessity to Train M&A Business Staffs	MARR No.288	Sep 2018
The Timing of Transfer of Status as a Shareholder, based on Article 174 of the Companies Act," Demand for Sale to Successors, etc."	Westlaw Japan website (Japanese Only)	Aug 2018
Effect of upcoming amendments to the civil code of Japan on M&A transactions		May 2018
Annual Review: Private Equity & Venture Capital 2017	Financier Worldwide	Dec 2017
Virtual Round Table - Mergers & Acquisitions 2017		Dec 2017
Joint ventures in Japan : overview	Practical Law Global Guides	Sep 2017
M&A Trends and Enhanced Employee Protection		Jun 2017
AI investment		Apr 2017
Virtual Round Table - Cross-Border Mergers & Acquisitions 2016		Dec 2016
Annual Review: Private Equity & Venture Capital 2016	Financier Worldwide	Dec 2016
Preparation of ASEAN CTD Application: Pharmaceutical Regulations and Requirements in each ASEAN Country	Science & Technology	Dec 2015
Virtual Round Table - Mergers & Acquisitions 2015		Sep 2015
Joint Ventures (Japan Chapter)	Thomson Reuters	Aug 2015
Resurgence Of M&A Activities In Japan And How Deal Levels May Be Boosted By Recent Amendments To The Companies Act		Jun 2015
Japanese cross-border M&A		Feb 2015
Patent Systems in ASEAN Countries	PHARMSTAGE	Nov 2014
The change to the scope of "Ordinary Wage" by the Korean Supreme Court's judgment	Business Homu	May 2014
Funds: Private Equity, Hedge and All Core Structures (Japan Chapter)		Mar 2014
Mergers and Acquisitions in Turkey	Kokusai Shoji Homu, Vol. 41, No. 11	Dec 2013

Q&A: Strategies for Corporate Law Practice in Asia and Emerging Countries	Shoji Homu	Apr 2013
Joint Venture (Japan Chapter)		Nov 2012
Getting the Deal Through – Product Recall 2013 (Japan Chapter)	Getting the Deal Through – Product Recall 2013	Nov 2012
Appointments of outside directors in financial institution	Financial Law Journal No.1955	Oct 2012
The Corporate Governance in Foreign Countries -Korea-	Gekkan Kansayaku, Vol.600	Jun 2012
ANALYSIS Take Over Bid	Shojihomu	Sep 2009
The points to be noted in the process of acquisition of listed companies in France	Kokusai Shoji Homu, Vol.36 No.6	Jun 2008

Lectures

M&A Strategy based on Revision of Corporate Governance Code and Reorganization of Tokyo Stock Exchange	FN	Feb 4, 2022
Communications, Kinyu Facsimile Shimbun Sha		
Legal Practice of Sell-offs and Acquisition of Business	Kinyu Zaimu Kenkyukai	Jan 19, 2022
Practical Points in Management of Group Companies	Pronexus Inc.	Dec 8, 2021
M&A Staffs Training Program - To Strengthen Total Skills in M&A by One-on-one Thorough Instructions in Assignments by Lecturer (six times in all)	Business & Law LLC	Nov 18, 2021
Viewpoints and Legal Practice in Management of Group Companies -Grouping by Acquisition, Governance and Risk Management, etc.	FN Communications, Kinyu Facsimile Shimbun Sha	Sep 29, 2021
Legal Practice of Revised Corporate Governance Code	Business & Law LLC	Sep 6, 2021
Latest Trends and Legal Issues in M&A for Business Succession	FN Communications, Kinyu Facsimile Shimbun Sha	Aug 31, 2021
Legal Practice for Establishment, Management and Liquidation of Joint Venture	FN Communications/Kinyu Facsimile Shimbun Sha	Jul 7, 2021
Legal Strategy for M&A of Startups to Correspond the Coming Age	Business & Law LLC	May 19, 2021
Summary of Amended Companies Act enacted in March 2021, and Necessary Next Actions	Anderson Mori & Tomotsune / Westlaw Japan K.K.	May 18, 2021
Alliance Strategy with Startups for Business Companies - As correctly reading and understanding "Guidelines on Business Partnership Contracts with Startups" formulated by JFTC and METI	Business & Law LLC	Apr 23, 2021
M&A Staffs Training Program - To Strengthen Total Skills in M&A by One-on-one Instructions in Assignments by Lecturer (five times in all)	Business & Law LLC	Apr 21, 2021
Legal Strategy for both of Seller and Buyer in Impending Business Sell-offs	RECOF Corporation	Apr 14, 2021
New • M&A Business Staffs Training Program (four times in all) -To Acquire Useful Know-how by Working on Assignments	Anderson Mori & Tomotsune / LexisNexis Japan	Nov 30, 2020 etc.
Intensive Learning of Investment Agreement for Startups	FN Communications/Kinyu Facsimile Shimbun Sha	Nov 18, 2020
How to Understand Legal Issues on Life Science and Health Care	LexisNexis, Business Law Journal	Nov 17, 2020
Premium Online Seminar "Legal Strategy of M&A for Restructuring Business Portfolio"	Anderson Mori & Tomotsune / Thomson Reuters / Westlaw Japan K.K.	Oct 28, 2020
Establishment of Investment Funds to Correspond the Coming Age -Legal Prescription of Investment for Business Companies and Financial Institutions-	FN Communications/Kinyu Facsimile Shimbun Sha	Oct 22, 2020
Perfect Understanding of Start-up Investment II (Agreement Commentary)	Business & Law LLC	Oct 15, 2020
Legal Strategy for Digital M&A in the age of Digital Transformation (DX)	LexisNexis Japan/ Business Law Journal	Sep 28, 2020
Perfect Understanding of Start-up Investment I (Important Points)	LexisNexis Japan/ Business Law Journal	Sep 16, 2020
Premium Online Seminar "Legal Strategy of Carve-out M&A under a Coming Situation"	Anderson Mori & Tomotsune / Westlaw Japan K.K.	May 19, 2020
Depthful Report of Legal Issues on M&A for Business Succession	Nichizei Business Service Co.Ltd	Mar 18, 2020
Recent Practice of Carve-out M&A	Kinyu Zaimu Kenkyukai	Feb 21, 2020
Latest Trends and Full Check of Tasks in M&A for Business Succession -Explain How to Proceed a Matter, Frequent Important Points in DD and Drafting of M&A Agreements, etc. -	FN Communications/ Kinyu Facsimile Shimbun Sha	Feb 18, 2020
Legal Practice regarding Investment in and Alliance with Startups by Business Companies	Kinyu Zaimu Kenkyukai	Jan 22, 2020

Practical Report of Joint Venture Agreement through Case Studies	Kinyu Zaimu Kenkyukai	Jan 17, 2020
New • M&A Business Staffs Training Program (four times in all) -To Acquire Useful Know-how by Working on Assignments	Anderson Mori & Tomotsune / LexisNexis Japan	Oct 29, 2019 etc.
M&A and Alliance in Life Science Industry with Its Trend ~How to Go into Life Science Market from Different Industries	Anderson Mori & Tomotsune, Deloitte Tohmatsu Financial Advisory LLC	Oct 25, Dec 2, 2019
Legal Practice regarding Investment in Startups by Business Companies	Kinyu Zaimu Kenkyukai	Oct 15, 2019
Complete Understanding of English Agreements and Practical Markup Simulation	Anderson Mori & Tomotsune, Westlaw Japan	Sep 30, Oct 18, Nov 8, Nov 29, 2019
Advisable Points on M&A for Business Succession which Financial Institutions should Note	Seminar Info Co.,Ltd.	April 23, 2019
What You Need to Know About the Emerging Field of Growth Equity - Allocating risk between signing and closing in cross-border deals (Mergers and Acquisitions)	American Bar Association	Mar 28, 2019
Depthful Report of Investment Agreement for Ventures or Startups	FN Communications/Kinyu Facsimile Shimbun Sha	Mar 5, Jul 9, Oct 9, 2019
Practical Understanding of Joint Venture Agreement and Studies on Frequent Case	Kinyu Zaimu Kenkyukai	Feb 22, Jul 3, Sep 20, 2019
Important Issues and Practices regarding Management of Overseas Subsidiaries~How to become a True Global Enterprise~	Anderson Mori & Tomotsune, Westlaw Japan	Feb 14, Mar 15, Apr 22, May 8, May 10, May 24, 2019
How to Understand Legal Issues on M&A for Business Succession	Nichizei Business Service Co. Ltd.	Jan 23, 2019
Legal Practice regarding Set-up of CVC and Investment in Startups by Business Companies	FN Communications/Kinyu Facsimile Shimbun Sha	Jan 16, 2019
Recent Practice regarding How to Address with Scandals -Initial Response, Recent Research Method with AI, and Construction of Risk Prevention System-	Anderson Mori & Tomotsune, Westlaw Japan	Dec 12, 2018
Important Legal Issues on M&A for Business Succession	Kinyu Zaimu Kenkyukai	Oct 23, 2018
M&A Business Staffs Training Program (four times in all) -To Acquire Useful Know-how by Working on Assignments	Anderson Mori & Tomotsune / LexisNexis Japan	Oct 1, Oct 30, Nov 30, Dec 14, 2018
Recent Practice regarding How to Address with Scandals -Initial Response, Recent Research Method with AI, and Construction of Risk Prevention System-	Anderson Mori & Tomotsune, Westlaw Japan	Sep 14, 2018
Important Legal Issues regarding CVC Set-up and Venture Investment by Business Companies	Kinyu Zaimu Kenkyukai	Jul 30, 2018
Important Legal Issues on M&A for Business Succession	Kinyu Zaimu Kenkyukai	Jul 11, 2018
Legal Issues in Cross-border M&A	Anderson Mori & Tomotsune, Westlaw Japan	Jun 20, Jun 22, Jul 13, Jul 31, 2018
Flash Report of the Interim Proposal for the Amendments to the Companies Act	Keiei Chosa Kenkyu-kai	Apr 10, 2018
Legal issues and Practical Solutions regarding Protection of Space and Store Design -Possibilities of "Trade Dress Protection"-	Japan Trademark Association	Mar 6, 2018
Legal Issues and Know-how of Management of Holding CompaniesM&A Practice Seminar/Reorganization to Survive in the Unexpected Times : Effective Use of Holding Companies - Case Studies regarding Advantage and Disadvantage of Holding Companies-	Anderson Mori & Tomotsune, Deloitte Tohmatsu Financial Advisory LLC	Feb 15, 2018
Essential Legal Points for Persons in Charge of Investment in Ventures	Kinyu Facsimile Shimbun Sha	Jan 19, 2018
Practical Important Points regarding Investment in Ventures or Startups	Kinyu Facsimile Shimbun Sha	Dec 15, 2017
Current Situation and Issues in Joint Venture - Case Studies with Specific Examples	Kinyu Facsimile Shimbun Sha	Nov 21, 2017
Legal Practice of Alliance and M&A in AI Business	Anderson Mori & Tomotsune, Westlaw Japan	Nov 10, 20, 2017
Legal Points regarding CVC Set-up and Investment by Business Companies - Various Legal Regulations, Know-how of profitable investments selection, and Parallel Investment Method -	Finance Facsimile News	Oct 4, 2017
Legal Practice of Carve-out M&A	Anderson Mori & Tomotsune, Westlaw Japan	Aug 1, 4, 2017
Important Legal Issues on Joint Venture -Learning from Case Studies-	Financial Management Forums, Inc.	May 17, 2017
Legal Issues Particular to Life Science IndustryM&A Practice Seminar/How to Go into Life Science Business from Different Industries - Case Studies regarding M&A and Intellectual Properties-	Anderson Mori & Tomotsune, Deloitte Tohmatsu Financial Advisory LLC	Mar 16, May 25, 2017
Practice of Investment in and Alliance with Joint Ventures or Startups	Anderson Mori & Tomotsune, Westlaw Japan	Mar 6, 23, Jul 4, 2017
Important Check Points for Ventures to be Funded by Major Enterprises or Investment Funds	Digital Hollywood Co., Ltd.	Sep 20, 2016

Successful Operation of Acquiring Companies in Post-M&A - Handling through M&A Agreement and Practical Points for Management of Group Companies	Anderson Mori & Tomotsune, Westlaw Japan	Sep 15, Oct 3, 14, 17, 2016
How to Appropriately Handle Corporate Compliance (Scandalous Matters)	Korean Business Association	Sep 8, 2016
Corporate Split/Effective Use and Important Legal IssuesM&A Practice Seminar/Practical Use of Corporate Split and its Points of Attention in Business ~Explain with a focus on Case Studies for Practical and Effective Use~	Deloitte Tohmatsu Financial Advisory LLC	Jun 13, Jul 25, Oct 11, 24, Nov 14, 2016
How to Understand and Apply Joint Venture Agreement - Looking toward the Use of Joint Venture on Expansion into Asian and other Developing Countries	Anderson Mori & Tomotsune, Thomson Reuters, Shinnippon-Hoki Publishing, Westlaw Japan	Feb 23, Mar 4, 2016
Practice and Legal Issues in Set-up of Investment Limited Partnership based on Recent New Fund Regulations	Seminar Info	Feb 19, 2016
Practice and Legal Affairs in Set-up and Operation of Investment Limited Partnership	Finance Facsimile News	Sep 29, Dec 7, 2015
Important Legal Issues on JV Agreement to Improve Enterprise ValuesM&A Practice Seminar/Joint Venture to Improve Enterprise Values -Offensive and Defensive Use of JV, Learning from Case Studies-	Deloitte Tohmatsu Financial Advisory LLC	Sep 18, Oct 26, 2015, Feb 2, 24, 2016
Pitfall of International Merger and Acquisition -Analysis of Causes of Failure and Practical Preliminary Action for Success	Financial Management Forums, Inc.	Aug 20, 2015
Practical Points on Fund Agreement - Useful Guidance of LPS Agreement for Investment Fund and CVC -	Financial Management Forums, Inc.	Jul 21, 2015
Important Legal Issues on Investment in Venture -Latest Trends, Due Diligence, Investment Agreement and Alliance Agreement-	Finance Facsimile News	Apr 10, 2015
Legal and Labor Issues over Expansion into Indonesia	Japan Productivity Center	Jan 30, 2015
Legal issues in venture investment	Financial Management Forums, Inc.	Dec 2, 2014
Joint Ventures: the basic elements of a JV contract and specific issues for Asia and the emerging markets	Corporate Management Forums, Inc.	Nov 7, 2013
Joint Ventures: overseas joint venture issues in perspective	Financial Management Forums, Inc.	Jun 7, 2012

Achievements

Apr 15, 2021	Best Lawyers 2022
Nov 7, 2020	Weekly Toyo Keizai –The Lawyers to Retain -Selected 25 Lawyers by Areas-
Apr 9, 2020	Best Lawyers 2021
Dec 3, 2018	Expert Guides - Life Sciences 2018

Professional Admissions

Japan (2002)
New York (2008)

Professional and Academic Associations

Daini Tokyo Bar Association
New York State Bar Association
Entertainment Lawyers Network
Human Research Ethics Review Committee of Graduate School of Pharmaceutical Sciences, Faculty of Pharmaceutical Sciences, The University of Tokyo
Member of the Ethics Review Committee of Medical Research Institute Tokyo Medical and Dental University

Languages

Japanese (first language)
English

