



Norihiro Ubukata

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Norihiro Ubukata is a partner at Anderson Mori & Tomotsune and focusing on mergers and acquisitions, joint ventures, corporate governance, shareholder and hedge fund activism defense, hostile takeover defense, proxy contest and corporate and securities law matters. Mr. Ubukata has been involved commercial litigations including derivative suits and shareholder litigations as well.

Practice Areas

Key Practice Areas

Corporate

Corporate and Commercial Transactions  
 Shareholders' Meetings and Relationships with Shareholders Shareholder Activism  
 Establishing a Business Presence in Japan

M&A

M&A/Business Restructuring Joint Ventures Business Alliances Venture Capital

Tax

Private Equity/Funds Hostile Takeovers Cross-border M&A  
 Tax Planning and Structuring Tax Litigation and Dispute Resolution International Taxation

Dispute Resolution

Tax Litigation and Dispute Resolution

International Practice

Australia

## Related Practice Areas

Corporate	Corporate Governance	Corporate Disputes	Support for Start-ups
Competition/Antitrust	Merger Control	Distribution and Other Competition Law Matters	
Energy and Natural Resources			
Dispute Resolution	Companies Act related Disputes		

## Topics

Articles Nov 2021	Case analysis of shareholder's request to convoke a shareholders meeting
AMT Seminars Sep 13, 2021	Review of the 2021 Ordinary General Meeting of Shareholders and Points of Measures for the 2022 General Meeting of Shareholders— based on recent trends such as CG Code amendment, TSE market segment reform, ESG investment—
Articles Aug 2021	Recent topics of ESG activism and preparation

## Practice Groups

Corporate Transaction Group	Tax Law Group	Energy Practice Group
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## Background

2006	The University of Tokyo (LL.B.)
2006 - 2007	The Legal Training and Research Institute of the Supreme Court of Japan
Sep 2007 - Jan 2018	Worked for Nishimura & Asahi
2013	Graduate study, University of Michigan Law School (LL.M., in International Taxation)
Sep 2013 – Aug 2014	Associated with Clayton Utz, Brisbane
Sep 2016 -	Lecturer, Center for Professional Legal Education and Research, Waseda University

## Publications

Case analysis of shareholder's request to convoke a shareholders meeting	Shiryouban Shoji Homu (Nov 2021)	Nov 2021
Recent topics of ESG activism and preparation	Business Homu	Aug 2021
Practical Notes on a better approach to shareholder's request to convoke a shareholders meeting	Junkan Shoji Homu Vol. 2270	Aug 2021
Practical notes on transfer of non-public information from listed companies to their shareholders	Shiryouban Shoji Homu (May 2021)	May 2021
Practical Notes for 2021 Annual General Shareholders Meeting	Gekkan Kansayaku Vol.720	Mar 2021
The Dynamics of Constructivists activities in Japan and Practical Notes	Shoji Homu Vol.2249	Dec 2020
Annual report of recent developments in Japanese tax laws	Recent developments in tax by jurisdiction (IBA Taxes Committee Website)	Sep 2016
The Trends of expanding M&A market in Australia and issues on acquisition	Business Homu	Apr 2016

Annual report of recent developments in Japanese tax laws    Recent developments in tax by jurisdiction (IBA Taxes Committee Website)    Sep 2015

Issues on proxy fights between in-company persons: case study of Otsuka Kagu Proxy Fight    Junkan Shoji Homu, No. 2067    May 2015

## Lectures

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Review of the 2021 Ordinary General Meeting of Shareholders and Points of Measures for the 2022 General Meeting of Shareholders  
— based on recent trends such as CG Code amendment, TSE market segment reform, ESG investment—    Anderson Mori & Tomotsune Osaka Office    Sep 13, 2021

Review of the 2020 Ordinary General Meeting of Shareholders and Points of Measures for the 2021 General Meeting of Shareholders  
— based on COVID-19 pandemic and new trends of activist shareholders—    Anderson Mori & Tomotsune Osaka Office    Aug 27, 2020

Review of the 2019 Ordinary General Meeting of Shareholders and Points of Measures for the 2020 General Meeting of Shareholders  
— based on trends of activist shareholders and institutional investors—    Anderson Mori & Tomotsune Osaka Office    Jul 31, 2019

Inbound and outbound investment in the Asia Pacific region (Panelist)    International Bar Association    Oct 9, 2017

## Professional Admissions

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Japan (2007)

## Professional and Academic Associations

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Daini Tokyo Bar Association

## Languages

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Japanese (first language)    English