



Norihiro Ubukata

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Norihiro Ubukata is a partner at Anderson Mori & Tomotsune and focusing on mergers and acquisitions, joint ventures, corporate governance, shareholder and hedge fund activism defense, hostile takeover defense, proxy contest and corporate and securities law matters. Mr. Ubukata has been involved commercial litigations including derivative suits and shareholder litigations as well.

Practice Areas

Key Practice Areas

Corporate	Corporate and Commercial Transactions Shareholders' Meetings and Relationships with Shareholders Shareholder Activism Establishing a Business Presence in Japan
M&A	M&A/Business Restructuring Joint Ventures Business Alliances Venture Capital Private Equity/Funds Hostile Takeovers Cross-border M&A
Tax	Tax Planning and Structuring Tax Litigation and Dispute Resolution International Taxation
Dispute Resolution	Tax Litigation and Dispute Resolution
International Practice	Australia

Related Practice Areas

Corporate	Support for Start-ups Corporate Governance Corporate Disputes
Competition/Antitrust	Merger Control Distribution and Other Competition Law Matters
Energy and Natural Resources	
Dispute Resolution	Companies Act related Disputes

Topics

Articles
May 2024

Shareholder relations in contested situations

Articles
May 2024

Legal500 - Environmental, Social and Governance | Japan Chapter

Articles
Apr 2024

Outline of the Proposed Amendments to the FIEA in accordance with a working group report of the Financial System Council.

Practice Groups

Corporate Transaction Group

Tax Law Group

Energy Practice Group

Background

2006 The University of Tokyo (LL.B.)
2006 - 2007 The Legal Training and Research Institute of the Supreme Court of Japan
Sep 2007 - Jan 2018 Worked for Nishimura & Asahi
2013 Graduate study, University of Michigan Law School (LL.M., in International Taxation)
Sep 2013 - Aug 2014 Associated with Clayton Utz, Brisbane
Sep 2016 - Jan 2024 Lecturer, Center for Professional Legal Education and Research, Waseda University

Publications

Shareholder relations in contested situations	Shiryouban Shoji Homu (May 2024)	May 2024
Legal500 - Environmental, Social and Governance Japan Chapter	Legal500 - Environmental, Social and Governance Japan Chapter	May 2024
Outline of the Proposed Amendments to the FIEA in accordance with a working group report of the Financial System Council.	Financial Law Journal, Vol.2232	Apr 2024
Legal Insights on Shareholders Meeting Practices Against Shareholder Proposals	Junkan Shoji Homu Vol. 2353	Mar 2024
Appraisal Right under the Japanese Companies Act	Tokyo Association of Shareholder Affairs Journal Vol.863 (February 2024)	Feb 2024
The impacts of generative AI on shareholders meeting practices	Business Homu	Jan 2024
Law Lecture for New Auditors Vol.5 - Corporate Auditors and Shareholders Meeting	Gekkan Kansayaku Vol.756	Oct 2023
Practical Notes Regarding Shareholders' Meeting in Contested Situations from Recent Cases	Tokyo Association of Shareholder Affairs Journal Vol.858 (September 2023)	Sep 2023
Four practical notes on the "Guidelines for Corporate Takeovers (Draft)"	Business Homu	Jul 2023
Four essential terms for preparing potential Q&As at annual general shareholders meeting	Business Homu	Apr 2023
Theories and Practices of Shareholder's Meeting in Contested Situations	Chuo Keizai	Mar 2023
Practical notes on listing company's disclosure practice regarding Russia-Ukraine war	Shiryouban Shoji Homu (Apr 2022)	Apr 2022
The recent trends and prospects of cases on the takeover defense measure in Japan	Business Homu	Feb 2022
Case analysis of shareholder's request to convoke a shareholders meeting	Shiryouban Shoji Homu (Nov 2021)	Nov 2021
Recent topics of ESG activism and preparation	Business Homu	Aug 2021
Practical Notes on a better approach to shareholder's request to convoke a shareholders meeting	Junkan Shoji Homu Vol. 2270	Aug 2021
Practical notes on transfer of non-public information from listed companies to their shareholders	Shiryouban Shoji Homu (May 2021)	May 2021
Practical Notes for 2021 Annual General Shareholders Meeting	Gekkan Kansayaku Vol.720	Mar 2021
The Dynamics of Constructivists activities in Japan and Practical Notes	Shoji Homu Vol.2249	Dec 2020
Annual report of recent developments in Japanese tax laws	Recent developments in tax by jurisdiction (IBA Taxes Committee Website)	Sep 2016
The Trends of expanding M&A market in Australia and issues on acquisition	Business Homu	Apr 2016
Annual report of recent developments in Japanese tax laws	Recent developments in tax by jurisdiction (IBA Taxes Committee Website)	Sep 2015
Issues on proxy fights between in-company persons: case study of Otsuka Kagu Proxy Fight	Junkan Shoji Homu, No. 2067	May 2015

Lectures

Outline and Practical Notes of METI "Guidelines for Corporate Takeovers"	Mitsubishi UFJ Trust and Banking Corporation	Nov 14, 2023
Review of the 2021 Ordinary General Meeting of Shareholders and Points of Measures for the 2022 General Meeting of Shareholders — based on recent trends such as CG Code amendment, TSE market segment reform, ESG investment—	Anderson Mori & Tomotsune Osaka Office	Sep 13, 2021
Review of the 2020 Ordinary General Meeting of Shareholders and Points of Measures for the 2021 General Meeting of Shareholders — based on COVID-19 pandemic and new trends of activist shareholders—	Anderson Mori & Tomotsune Osaka Office	Aug 27, 2020
Review of the 2019 Ordinary General Meeting of Shareholders and Points of Measures for the 2020 General Meeting of Shareholders — based on trends of activist shareholders and institutional investors—	Anderson Mori & Tomotsune Osaka Office	Jul 31, 2019
Inbound and outbound investment in the Asia Pacific region (Panelist)	International Bar Association	Oct 9, 2017

Professional Admissions

Japan (2007)

Professional and Academic Associations

Languages

Japanese (first
language)

English