



## Koichi Miyamoto

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Koichi Miyamoto is a partner who has specialized expertise in providing advice on financial regulatory issues including the Financial Instruments and Exchange Act, Banking Act and Investment Trust Act. His professional experience also includes providing legal services and advice in relation to structuring, formation and marketing of domestic and foreign investment funds, including private equity funds, venture capital funds, mezzanine funds, real estate funds, infrastructure funds, as well as asset management, FinTech and various types of financial transactions. In addition to his professional experience at Anderson Mori & Tomotsune, he has also worked for the Securities and Exchange Surveillance Commission of Japan (from May 2008 to May 2010), where he was in charge of the inspection of financial instruments business operators.

### Practice Areas

Finance and Financial Institutions	Financial Regulation	Asset Management and Investment Funds	Structured Finance	Project Finance	Banking	Real Estate Finance
	Financial Technology (FinTech)	Private Equity/Funds	Venture Capital			
M&A						
Real Estate	Real Estate Finance	Real Estate Investment	Real Estate Transactions			
Corporate		Support for Start-ups	Corporate and Commercial Transactions			
Energy and Natural Resources		Establishing a Business Presence in Japan				

### Topics

Achievements  
Sep 27, 2024

Who's Who Legal: Japan 2024

Articles  
Aug 2024

Japan: Alternative Investment Funds 2024

## Background

Mar 2003	Keio University (LL.B)
2004 - 2005	The Legal Training and Research Institute of the Supreme Court of Japan
May 2008 - May 2010	Worked for the Securities and Exchange Surveillance Commission
Sep 2012	Graduate study, University of Chicago (LL.M)

## Publications

Japan: Alternative Investment Funds 2024		Aug 2024
Law and Practice - Investment Funds 2024 / Japan	Chambers and Partners - Global Practice Guide (Investment Funds 2024 / Japan)	Feb 2024
Japan: Alternative Investment Funds	The Legal 500: Alternative Investment Funds Comparative Guide 2023	Aug 2023
Japan: Alternative Investment Funds 2023	International Comparative Legal Guide - Alternative Investment Funds 2023	Aug 2023
Japan: Alternative Investment Funds	The Legal 500: Alternative Investment Funds Country Comparative Guides 2022	Sep 2022
Japan: Alternative Investment Funds 2022	International Comparative Legal Guide - Alternative Investment Funds 2022	Aug 2022
6000 Legal Countermeasures for Financial Institutions	Kinzai Institute for Financial Affairs, INC.	Feb 2022
Trends and Developments	Chambers and Partners - Global Practice Guide (Investment Funds 2022 / Japan)	Feb 2022
Japan: Alternative Investment Funds	The Legal 500: Alternative Investment Funds Country Comparative Guides 2021	Sep 2021
Japan: Alternative Investment Funds Laws and Regulations 2021	International Comparative Legal Guide - Alternative Investment Funds 2021	Aug 2021
Retail investment funds in Japan: regulatory overview	Thomson Reuters Practical Law	May 2021
Trends and Developments	Chambers and Partners - Global Practice Guide (Investment Funds 2021 / Japan)	Feb 2021
Japan: Alternative Investment Funds	The Legal 500: Alternative Investment Funds Country Comparative Guide	Sep 2020
Japan: Alternative Investment Funds 2020	The International Comparative Legal Guide to: Alternative Investment Funds 2020 8th Edition	Aug 2020
International Banking Law & Regulation, 2020-1 ed (Japan Chapter)	International Banking Law & Regulation, 2020-1 ed	Mar 2020
Trends and Developments	Chambers and Partners - Global Practice Guide (Investment Funds 2020 / Japan)	Feb 2020
Retail investment funds in Japan: regulatory overview	Hedge funds in Japan: regulatory overview	Aug 2019
Japan: Alternative Investment Funds 2019	The International Comparative Legal Guide to: Alternative Investment Funds 2019 7th Edition	Aug 2019
International Banking Law & Regulation, 2019-1 ed (Japan Chapter)	International Banking Law & Regulation, 2019-1 ed	Mar 2019
Trends and Developments	Chambers and Partners - Global Practice Guide (Investment Funds 2019 / Japan)	Mar 2019
Global Legal Insights - Banking Regulation 5th Edition (Japan Chapter)		Apr 2018
International Banking Law & Regulation, 2018-1 ed		Mar 2018
Retail investment funds in Japan: regulatory overview	Hedge funds in Japan: regulatory overview	Mar 2018
Global Legal Insights - Banking Regulation 4th Edition (Japan Chapter)		May 2017
Retail funds in Japan: regulatory overview	Hedge funds in Japan: regulatory overview Thomson Reuters Practical Law (online)	Apr 2017
International Banking Law & Regulation, 2017-1 ed (Japan Chapter)	International Banking Law & Regulation, 2017-1 ed	Mar 2017
Global Legal Insights - Banking Regulation 3rd Edition (Japan Chapter)	Global Legal Insights - Banking Regulation 3rd Edition	May 2016
International Banking Law & Regulation, 2016-1 ed (Japan Chapter)		Apr 2016
Investment funds in Japan: regulatory overview	Practical Law -A Thomson Reuters Legal Solution	Feb 2016
Global Legal Insights - Banking Regulation 2nd Edition (Japan Chapter)	Global Legal Insights - Banking Regulation 2nd Edition	Jun 2015

Neate and Godfrey: Bank Confidentiality 6th Edition (Japan Chapter)	Neate and Godfrey: Bank Confidentiality 6th Edition	Mar 2015
Getting the Deal Through – Private Client 2015 (Japan Chapter)		Dec 2014
Getting the Deal Through – Private Client 2014 (Japan Chapter)	Getting the Deal Through - Private Client 2014	Nov 2013
Getting the Deal Through – Private Client 2013 (Japan Chapter)	Getting the Deal Through – Private Client 2013	Nov 2012

## Lectures

Contractual Issues regarding Investment Funds including PE funds, VC funds and CVC	Seminar Info Co., Ltd.	Sep 16, 2020
Regulatory Issues regarding Structuring and Formation of Bank-affiliated Funds	Finance Facsimile News	Mar 4, 2020
Regulatory and Contractual Issues regarding Structuring and Formation of, and Investment in, Investment Funds	Finance Facsimile News	Jan 14, 2020
Laws and Practices regarding Investment Funds - Focusing on PE funds, VC funds and CVC -	Kinyu zaimu kenkyu kai	Dec 6, 2019
Regulatory and Contractual Issues regarding Structuring and Formation of, and Investment in, Investment Funds	Finance Facsimile News	Nov 6, 2019
Laws and Practices regarding Investment Funds for Managers and Investors	Seminar Info Co., Ltd.	Jul 22, 2019
Regulatory and Contractual Issues regarding Structuring and Formation of, and Investment in, Investment Funds	Finance Facsimile News	Jun 12, 2019
Laws and Practices regarding Investment Funds - Focusing on PE funds, VC funds, CVC and Crypto funds -	Kinyu zaimu kenkyu kai	May 31, 2019
Laws and Practices regarding Investment Funds- Focusing on PE funds, VC funds, CVC and Crypto funds -	Kinyu zaimu kenkyu kai	Apr 2, 2019
Laws and Practices regarding Investment Funds- Focusing on PE funds, VC funds, CVC and Crypto funds -	Financial Management Forums, Inc.	Nov 27, 2018
Regulations on money transfer and cryptocurrencies in Korea, Taiwan and Japan	Japan Cryptocurrency Business Association	Sep 20, 2017
Recent Trends in Regulations of Payment Services- Cryptocurrency Exchange Business and Electronic Payment Intermediate Service	Financial Management Forums, Inc.	Mar 24, 2017
Points for Establishment of Funds, Investment in Funds and Entry into Investment Management Business by Banking Group- Regulatory Issues under Banking Act and Amended Financial Instruments and Exchange Act and Contractual Issues -	Financial Management Forums, Inc.	Apr 1, 2016
Guide to 2015 Proposed Amendments to the Financial Instruments and Exchange Act with regard to Investment Management /Guide to 2014 Amendments to Financial Instruments and Exchange Act with regard to Investment Type Crowd Funding	Shibatsu Co., Ltd.	Jul 17, 2015
Facilitation of Investment Type Crowd Funding and Enhancement of Fund Regulations -Practice of Fund Regulations and its Recent Trends-	Shibatsu Co., Ltd.	Nov 28, 2014
Practice of Fund Regulations concerning Specially Permitted Businesses for Qualified Institutional Investor, etc. and its Recent Trends	Financial Management Forums, Inc.	Oct 30, 2014
Facilitation of Investment Type Crowd Funding and Enhancement of Regulations on Funds for Professional Investors:Practice of Fund Regulations and its Recent Trends	Financial Management Forums, Inc.	Jul 2, 2014
Basics of Response to Securities Inspections for Business Operators without Having Experience of Securities Inspections or Newly Registered Business Operators- In Response to Authorities' Policy concerning Increasing Number of Inspections and Inspections of Newly Registered Business Operators -	Financial Management Forums, Inc	May 22, 2014
Practical Points for Discretionary Investment Managers Based on Recent Trends of Regulations and Administrative Sanction Cases	FN Holdings Co., Ltd.	Jan 21, 2014
How to Handle Violations of Regulation of Insider Trading and False Disclosure Statements- Based on Recently Amended Laws -	The Association of Corporate Legal Departments	Dec 13, 2013
Legal Points of Discretionary Investment Business- Based on Recent Trends of Intensive Inspections and Amendment of Laws and Regulations -	Financial Management Forums	Oct 2, 2013
Practice of Fund Inspection concerning Specially Permitted Businesses for Qualified Institutional Investor, etc.- Influence on Intensive Detection of Funds for Professional Investors Which Intend to Evade Laws -	Seminar Info	May 17, 2011

## Achievements

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Sep 27, 2024	Who's Who Legal: Japan 2024
Apr 18, 2024	The Best Lawyers in Japan™ • Best Lawyers: Ones to Watch in Japan™ (2025 Edition)
Dec 14, 2023	Chambers Asia-Pacific 2024
Oct 4, 2023	Who's Who Legal: Japan 2023
Apr 20, 2023	The Best Lawyers in Japan™ 2024
Dec 15, 2022	Chambers Asia-Pacific 2023
Sep 22, 2022	Who's Who Legal: Japan 2022
Apr 14, 2022	Best Lawyers 2023
Dec 16, 2021	Chambers Asia-Pacific 2022
Oct 9, 2021	Who's Who Legal: Japan 2021
Apr 15, 2021	Best Lawyers 2022
Dec 15, 2020	Chambers Asia-Pacific 2021
Sep 1, 2020	Who's Who Legal: Japan 2020

## Professional Admissions

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Japan (2005)  
New York (2013)

## Professional and Academic Associations

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Daini Tokyo Bar Association

## Languages

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Japanese (first  
language)                      English