

## Daisuke Tate

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Daisuke Tate has been engaged in an extensive range of legal practice at Anderson Mori & Tomotsune since he joined the firm in 2003. He has been involved with regulatory issues in the securities business, the investment trust business and the investment advisory services business. He has also helped to set up investment funds and advised on structured finance centered on real estate securitizations, acquisition finance and project finance. Mr. Tate's professional experience also includes mergers and acquisitions and general corporate issues.

### Practice Areas

Corporate	Corporate and Commercial Transactions			
M&A	Venture Capital	Private Equity/Funds		
Finance and Financial Institutions	Banking	Financial Regulation	Asset Management and Investment Funds	
	Structured Finance	Real Estate Finance	Acquisition Finance	Project Finance
	Asset Finance	PPP/PFI/Concession		
Real Estate	Real Estate Finance	REITs		

### Topics

External Seminars Jan 16, 2019	Legal Practice regarding Set-up of CVC and Investment in Startups by Business Companies
External Seminars Jul 30, 2018	Important Legal Issues regarding CVC Set-up and Venture Investment by Business Companies
External Seminars Oct 4, 2017	Legal Points regarding CVC Set-up and Investment by Business Companies - Various Legal Regulations, Know-how of profitable investments selection, and Parallel Investment Method -

### Practice Groups

Financial Services & Transactions

Structured Finance

Corporate Transaction

## Background

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2001	Waseda University (LL.B.)
2002 - 2003	The Legal Training and Research Institute of the Supreme Court of Japan
2006 - 2007	Worked for the Financial Markets Division, Planning and Coordination Bureau of the Financial Services Agency

## Publications

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"Focus on Trends of Practical Finance by Lawyers," in Careful Selection of Comments about Authority of Finance	Nihon Kajoshiki	Feb 2013
Shuppan Kabushikigaisha		
Various Issues on Financial Instruments and Exchange Act	Shoji Homu	Oct 2012
Case note on the Fukuoka High Court decision denying the execution of the cancellation right of the investment trust by one of the co-successors	Minji Kenshu No. 647	Mar 2011
M&A and Corporate Reorganization (9) Appraisal right by Opposing Shareholders (Part2)	Junkan Shoji Houmu, No. 1900	Jun 2010
M&A and Corporate Reorganization (8) Appraisal right by Opposing Shareholders (Part1)	Junkan Shoji Houmu, No. 1898	May 2010
Financial Instruments and Exchange Act (Second Volume) Vendor Regulation	Kinzai Institute for Financial Affairs, Inc.	Oct 2009
Guide to the Financial Instruments and Exchange Law and Relevant Cabinet Orders	Shoji Homu	May 2008
Changes in Accountability to Customer with Establishment of Specified Investor System	Financial Compliance	Oct 2007

## Lectures

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Legal Practice regarding Set-up of CVC and Investment in Startups by Business Companies	FN Communications/Kinyu Facsimile	Jan 16, 2019
Shimbun Sha		
Important Legal Issues regarding CVC Set-up and Venture Investment by Business Companies	Kinyu Zaimu Kenkyukai	Jul 30, 2018
Legal Points regarding CVC Set-up and Investment by Business Companies - Various Legal Regulations, Know-how of profitable investments selection, and Parallel Investment Method -	Finance Facsimile News	Oct 4, 2017
Practice and Legal Issues in Set-up of Investment Limited Partnership based on Recent New Fund Regulations	Seminar Info	Feb 19, 2016
Practice and Legal Affairs in Set-up and Operation of Investment Limited Partnership	Finance Facsimile News	Sep 29, Dec 7, 2015
Practical Points on Fund Agreement - Useful Guidance of LPS Agreement for Investment Fund and CVC -	Financial Management	Jul 21, 2015
Forums, Inc.		

## Professional Admissions

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Japan (2003)

## Professional and Academic Associations

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Daini Tokyo Bar Association

## Languages

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Japanese (first language)

English