



Takahiko Yamada

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Takahiko Yamada specializes in financial regulation, asset management, investment funds, and insurance and financial transactions. He has substantial experience advising broker dealers, banks, insurance companies, asset managers and other financial institutions on matters of financial regulation. He has also served on secondment as Deputy Director in the Planning and Coordination Bureau (Financial Markets Division) of the Financial Services Agency of Japan (July 2009 - February 2012), where he was responsible for overseeing all aspects of regulations governing investment management businesses in Japan, including the Financial Instruments and Exchange Act, the Investment Trust and Investment Corporation Act and the Act on Securitization of Assets, among others. Takahiko also participated in the development of new legislation during his secondment with the Financial Services Agency of Japan.

Practice Areas

Key Practice Areas

Finance and Financial Institutions	Financial Regulation	Asset Management and Investment Funds	Insurance	Banking
	Financial and Securities Dispute Resolution			

Related Practice Areas

Real Estate	Real Estate Investment	REITs
Corporate	Corporate and Commercial Transactions	Corporate Governance
	Establishing a Business Presence in Japan	
International Practice	India	

Topics

Books Mar 2024	Banking Business Proficiency Test; Official Text; Financial Instruments Exchange Grade 3
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Articles  
Aug 2023

Japan: Alternative Investment Funds 2023

Articles  
Aug 2022

Japan: Alternative Investment Funds 2022

## Background

Mar 2004	Keio University (LL.B.)
Oct 2006	The Legal Training and Research Institute of the Supreme Court of Japan
Jul 2009 - Feb 2012	Financial Markets Division, Planning and Coordination Bureau of the Financial Services Agency of Japan
Mar 2013 - Sep 2013	Khaitan & Co, India
May 2019 -	Vice-Chair of Insurance Committee, Inter-Pacific Bar Association (IPBA)

## Publications

Banking Business Proficiency Test; Official Text; Financial Instruments Exchange Grade 3	Economic Legal Research Institute	Mar 2024
Japan: Alternative Investment Funds 2023	International Comparative Legal Guide - Alternative Investment Funds 2023	Aug 2023
Japan: Alternative Investment Funds 2022	International Comparative Legal Guide - Alternative Investment Funds 2022	Aug 2022
Financial Services Compliance	Lexology Getting The Deal Through	Jan 2022
Japan: Alternative Investment Funds Laws and Regulations 2021	International Comparative Legal Guide - Alternative Investment Funds 2021	Aug 2021
Japan: Alternative Investment Funds 2020	The International Comparative Legal Guide to: Alternative Investment Funds 2020 8th Edition	Aug 2020
Japan: Alternative Investment Funds 2019	The International Comparative Legal Guide to: Alternative Investment Funds 2019 7th Edition	Aug 2019
The Asset Management Review - Third Edition (Japan Chapter)	The Asset Management Review - Third Edition	Oct 2014
The Asset Management Review - Second Edition (Japan Chapter)	The Asset Management Review - Second Edition	Oct 2013
The Asset Management Review - First Edition (Japan Chapter)	The Asset Management Review - First Edition	Oct 2012
Clause-by-Clause Guide to J-REIT Law	Kinzai Institute for Financial Affairs, Inc.,	Aug 2012
Ease of competition due to decrease of investors - Chance of winning for medium and small sized stocks	Nikkei Shimbun Online	Jul 2012
Guide to the 2011 Amendments to the relevant Cabinet Order and Cabinet Office Ordinance regarding the Financial Instruments and Exchange Act (Vol. 4) - Revisions to financing and asset utilization	Shoji Homu	Apr 2012
Guide to the 2011 Amendments to the Financial Instruments and Exchange Act (Vol. 5) - Revisions to financing and asset utilization	Shoji Homu No.1939	Aug 2011
An Overview of the Act Amending the Financial Instruments and Exchange Act for Strengthening the Foundations of Capital Markets and the Financial Industry (2) - Providing asset investment opportunities utilizing Japanese national assets	Banking Law Journal No.1926	Jul 2011
Guide to the Amendment to the Financial Instruments and Exchange Act - Focusing on amendments concerning provision of financing measures and asset managements	New Business Law No.957	Jul 2011
Provision-by-Provision Commentary: the 2011 Amendments to the Financial Instruments and Exchange Act	Shoji Homu	Jan 2011
International Arbitration in Business Dispute Resolution - Introduction	Lexis Kigyo Homu	May 2007

## Lectures

Amendment to J-REIT Regulations (Seminar for J-REIT, AM Company, Sponsor, Bank, Securities company, Certified Public Accountant)	FN Holding/FN Communications	Feb, Aug 2013
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Recent Trends in Legislation from the Financial Services Agency of Japan and Their Impact - Asset Management Regulations regarding Pension funds, Insider Trading Regulations, and Other Related Areas-	Anderson Mori & Tomotsune	Oct 2012
Recent trends and future prospects for system revisions of Asset Management Business	FN Holding/FN Communications	Aug 2012
Present Situation and Future prospects of Asset Management Business	Tokyo Stock Exchange	Jun 2012
New system to stimulate Investment Management Business - Investment Management Business for qualified investors-	Tokyo Stock Exchange	Jun 2012
New fund management business through the use of Investment Management Business for qualified investors - commentary on points and summary of the system by the legislative officer	Financial Management Forums, Inc.	May 2012

### Professional Admissions

Japan (2006)

### Professional and Academic Associations

Daini Tokyo Bar Association  
Inter-Pacific Bar Association (IPBA)

### Languages

Japanese (first language)  
English